

10/04/01  
Jc975 U.S. PTO

10-09-01

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. GJE-06FD3  
First Inventor Aaron Gershon Filler  
Title Synthetic Transfection Vectors  
Express Mail Label No. ET324078802US

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## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 16]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
- ☐ Oath or Declaration [Total Pages 2]
  - ☐ Newly executed (original or copy)
  - ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Associate Power of Attorney, Claim of Priority Under 35 USC 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09 / 702,381  
Prior application information: Examiner Brian Whiteman Group Art Unit 1633

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	23,557	or	<input type="checkbox"/> Correspondence address below
(Insert Customer No. or Attach bar code label here)			
Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	Doran R. Pace	Registration No. (Attorney/Agent)	38,261
Signature		Date	October 4, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

The PTO did not receive the following listed item(s): post card

October 4, 2001

CLAIM OF PRIORITY  
Patent Application  
Docket No. GJE-06FD3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Aaron Gershon Filler, Andrew Michael Lindsay Lever  
Docket No. : GJE-06FD3  
For : Synthetic Transfection Vectors

Box PATENT APPLICATION  
Assistant Commissioner of Patents  
Washington, D.C. 20231

CLAIM OF PRIORITY UNDER 35 USC §119

Sir:

Applicants in the above-identified patent application hereby reaffirm their claim to the right of priority granted pursuant to 35 USC §119 based upon Great Britain application Serial No. 9119762.4, filed September 16, 1991.

A certified copy of the above Great Britain application can be found in the parent application, U.S. application Serial No. 08/211,041. Applicants respectfully request that the certified copy of the foreign priority application be made of record in the subject application pursuant to MPEP 201.14(b).

Respectfully submitted,



Doran R. Pace  
Patent Attorney  
Registration No. 38,261  
Phone No.: (352) 375-8100  
Fax No.: (352) 372-5800  
Address: 2421 N.W. 41st Street, Suite A-1  
Gainesville, FL 32606

DRP/sl

**FEE TRANSMITTAL FORM**

Box PATENT APPLICATION  
 Assistant Commissioner for Patents  
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: Aaron Gershon Filler, Andrew Michael Lindsay Lever

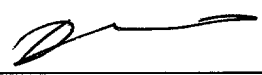
Entitled: Synthetic Transfection Vectors

- ☒ A Utility Patent Application Transmittal Form accompanies this Fee Transmittal Form.  
☒ The Filing Fee is calculated below:

CLAIMS AS FILED				
	Number filed	Number Extra	Rate	Fee
Basic Fee			\$370.00	\$0.00
Total Claims	17 - 20 =	0	x \$9	\$0.00
Independent Claims	4 - 3 =	1	x \$42	\$0.00
Presentation of Multiple Dependent Claim(s) (\$140)				\$0.00
Total Filing Fee				\$0.00

- ☐ Please charge \$0.00 to Deposit Account No. 19-0065. Two copies of this sheet are enclosed.  
☐ The Commissioner is hereby authorized to charge any additional filing fees which may be required, or credit any overpayment, to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.  
☒ This application is being mailed by Express Mail under 37 CFR 1.10 and the required certificate appears below.

October 4, 2001  
 Date

  
 Attorney of Record

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR 1.10)

Express Mail No.: ET 324078802 US Date of Deposit: October 4, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Brooke Bockemuehl  
 Name of person mailing paper

  
 Signature